

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Mnuchin, Steven**

Secretary, Department of the Treasury

Report Year: 2018

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Mnuchin, Steven [electronically signed on 05/15/2018 by Mnuchin, Steven in Integrity.gov] - Filer received a 45 day filing extension.**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 06/27/2018 by Horton, Elizabeth A in Integrity.gov]**

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Other review conducted by

**/s/ Riesen, Peter, Ethics Official [electronically signed on 06/25/2018 by Riesen, Peter in Integrity.gov]**

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U.S. Office of Government Ethics Certification

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Dune Capital Management LP	New York, New York	Investment Fund Manager	Chairman and CEO	9/2004	1/2017
2	Dune Capital Management GP LLC	New York, New York	General Partner	Sole Owner	12/2004	1/2017
3	Steven T. Mnuchin Inc.	New York, New York	Corporation	President and Sole Owner	5/1999	Present
4	STM Partners LLC	New York, New York	Investment Partnership	Managing Member	8/2003	1/2017
5	SHM Investments LLC	New York, New York	Investment Manager	Managing Member	1/2003	1/2017
6	STM Revocable Trust	Los Angeles, California	Trust	Trustee	7/2012	1/2017
7	Steven and Heather Mnuchin Foundation	Los Angeles, California	Private Foundation	Trustee	1/1994	2/2017
8	STM GST Trust	Los Angeles, California	Trust	Trustee	5/2005	2/2017
9	AGM GST Trust	New York, New York	Trust	Trustee	5/2005	2/2017
10	ETC Estate	New York, New York	Estate	Co-Executor	5/2005	1/2017
11	Elaine T. Cooper Foundation	New York, New York	Private Foundation	Trustee	5/2005	1/2017
12	The GMG 2002 Trust	Washington, District of Columbia	Trust	Trust Protector	1/2010	1/2017

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	The SMG 2002 Trust	Washington, District of Columbia	Trust	Trust Protector	1/2010	1/2017
14	The LFG 2002 Trust	Washington, District of Columbia	Trust	Trust Protector	1/2010	1/2017
15	GMG 1999 Trust	Washington, District of Columbia	Trust	Trustee	1/2010	1/2017
16	LFG 1999 Trust	Washington, District of Columbia	Trust	Trustee	1/2010	1/2017
17	SMG 1999 Trust	Washington, District of Columbia	Trust	Trustee	1/2010	1/2017
18	The NFL 2015 Trust	Miami, Florida	Trust	Trustee	3/2015	1/2017
19	The NRL 2015 Trust	Miami, Florida	Trust	Trustee	3/2015	1/2017
20	Trust U Art 6th (b) MM	Washington, Connecticut	Trust	Trustee	11/1995	1/2017
21	LeFrak Trust Company	Wilmington, Delaware	Corporation	Director	1/2009	1/2017
22	UCLA Hospital	Los Angeles, California	Hospital	Member, Board	1/2010	1/2017
23	Cedar Sinai Hospital	Los Angeles, California	Hospital	Member, Board of Governors	1/2010	1/2017
24	The MR and JMB 2003 Life Insurance Trust	New York, New York	Trust	Trustee	3/2003	1/2017
25	Dune Entertainment Partners LLC	New York, New York	Partnership	Chairman	1/2013	2/2017

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
26	StormChaser Partners LLC		Los Angeles, California	Limited Liability Company	Chairman	12/2015	1/2017
27	New York Presbyterian Hospital	See Endnote	New York, New York	Hospital	Life Trustee	1/2004	1/2017
28	Dune Capital Partners LLC	See Endnote	New York, New York	Limited Liability Company	Manager	1/2004	1/2017
29	Dune Capital Partners II LP		New York, New York	Limited Liability Partnership	Manager	1/2004	1/2017
30	Dune Capital Partners III LLC		Las Vegas, Nevada	Limited Liability Company	Managing Member	10/2013	1/2017
31	Dune Capital Manager LLC		New York, New York	Limited Liability Company	Managing Member	1/2004	1/2017
32	SHM Partners II, LLC		New York, New York	Limited Liability Company	Managing Member	1/2004	1/2017
33	Dune Capital International Ltd		Cayman Islands, Outside U.S.	Corporation	Director	12/2004	1/2017
34	ESL 2012 Family Trust		Miami, Florida	Trust	Trustee	12/2012	1/2017
35	AGM 2016 Insurance Trust		New York, New York	Trust	Trustee	6/2016	1/2017

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(line intentionally left blank)				
1.1	(line intentionally left blank)				
2	(line intentionally left blank)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	(line intentionally left blank)				
3	STM Partners LLC	N/A		Guaranteed Payments	\$37,074
4	(line intentionally left blank)				
5	(line intentionally left blank)				
6	Goldman Sachs 401(k)	No			
6.1	GS Financial Square Treasury Obligations Fund	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
6.2	U.S. brokerage bank deposit account (institution #2) (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
6.3	Large Cap Value Equity Index Fund - Blackrock	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
6.4	Large Cap Value Equity Fund - GSAM	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
6.5	S&P 500 Index Fund - SSgA	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
6.6	Concentrated Growth Equity Fund - Jackson Square Partners	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
6.6.1	Allergan PLC Common Shares				
6.6.2	Alphabet Inc. Class A Common Shares				
6.6.3	Alphabet Inc. Class C Common Shares				
6.6.4	Biogen Inc. Common Shares				
6.6.5	Celegene Corp. Common Shares				
6.6.6	Crown Castle International Corp. Common Shares				
6.6.7	DENTSPLY SIRONA Inc. Common Shares				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.6.8	Dollar General Corp. Common Shares				
6.6.9	Ebay Inc. Common Shares				
6.6.10	Electric Arts Common Shares				
6.6.11	Equinix Inc. Common Shares				
6.6.12	Facebook Inc. Class A Common Shares				
6.6.13	Intercontinental Exchange Inc. Common Shares				
6.6.14	Intuit Inc. Common Shares				
6.6.15	L. Brands Inc. Common Shares				
6.6.16	Liberty Global PLC Class C Common Shares				
6.6.17	Liberty Global PLC Class A Common Shares				
6.6.18	Liberty Interactive Corp. Class A Common Shares				
6.6.19	Mastercard Inc. Class A Common Shares				
6.6.20	Microsoft Corp. Common Shares				
6.6.21	Nielsen Holdings PLC Common Shares				
6.6.22	Paypal Holdings Inc. Common Shares				
6.6.23	Qualcomm Inc. Common Shares				
6.6.24	Quintiles IMS Holdings Inc. Common Shares				
6.6.25	Charles Schwab Corp. Common Shares				
6.6.26	Symantec Corp. Common Shares				
6.6.27	TripAdvisor Inc. Common Shares				
6.6.28	Visa Inc. Class A Common Shares				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.6.29	Walgreens Boots Alliance Inc. Common Shares				
6.6.30	GS Financial Square Treasury Obligations Fund				
6.7	Mid Cap Value Equity Fund - GSAM	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
6.8	(line intentionally left blank)				
6.9	(line intentionally left blank)				
6.10	Treasury Money Market - Vanguard	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
6.11	Concentrated Value Equity - Dmnd Hill	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
6.12	Mid Cap Value Eq - Sycamore	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
7	TriNet (F/K/A Ambrose Multiple Employer Retirement Savings 401(k)	No			
7.1	T. Rowe Personal Strategy Balance Fund	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
7.2	Vanguard Institutional Target Retirement 2025 Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
8	(line intentionally left blank)				
9	(line intentionally left blank)				
10	(line intentionally left blank)				
11	(line intentionally left blank)				
12	(line intentionally left blank)				
13	(line intentionally left blank)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	(line intentionally left blank)				
14.1	(line intentionally left blank)				
14.1.1	(line intentionally left blank)				
14.2	(line intentionally left blank)				
14.3	(line intentionally left blank)				
14.4	(line intentionally left blank)				
14.5	(line intentionally left blank)				
14.6	(line intentionally left blank)				
14.6.1	(line intentionally left blank)				
14.7	(line intentionally left blank)				
14.8	(line intentionally left blank)				
14.8.1	(line intentionally left blank)				
14.8.1. 1	(line intentionally left blank)				
14.8.1. 1.1	(line intentionally left blank)				
15	(line intentionally left blank)				
15.1	(line intentionally left blank)				
15.2	(line intentionally left blank)				
15.2.1	(line intentionally left blank)				
15.3	(line intentionally left blank)				
15.4	(line intentionally left blank)				
15.5	(line intentionally left blank)				



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.6	(line intentionally left blank)				
15.6.1	(line intentionally left blank)				
15.7	(line intentionally left blank)				
15.8	(line intentionally left blank)				
15.8.1	(line intentionally left blank)				
15.8.1.1	(line intentionally left blank)				
15.8.1.1.1	(line intentionally left blank)				
16	CIT Group Inc. SIP 401(k) Plan				
16.1	Schwab Managed Retirement Trust Fund 2025	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
16.2	Schwab Managed Retirement Trust Fund 2030	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	CIT Group	New York, New York	Restrictive Covenant Agreement - Filer is subject to customary non-compete and non-solicitation provisions until July 2018.	7/2014
2	Goldman Sachs	New York, New York	401(k) Plan - Filer will continue to participate in Goldman Sachs's 401(k) plan. No contributions will be made by Filer's former employer.	9/1985
3	Dune Capital Management	New York, New York	401(k) Plan - Filer will continue to participate in Dune Capital Management's 401(k) plan administered by Ambrose. No contributions will be made by Filer's former employer.	9/2004

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	CIT Group	New York, New York	401(k) Plan - Filer will continue to participate in CIT Group's SIP 401(k) plan. No contributions will be made by Filer's former employer.	8/2015

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Stormchaser Partners LLC	See Endnote	No	Guaranteed Payment	\$1,158
1.1	SDA Film LLC	No			
1.1.1	Untitled Film 5	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2	Untitled Film 1	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3	Untitled Film 2	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.4	Untitled Film 3	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	Untitled Film 6	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.6	Tarbox LLC	No			
1.7	Untitled Film 4	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	Receivable from Midnightman Holdings LLC	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.9	Stormchaser Dune Entertainment LLC	No			
1.9.1	TPD Entertainment, LLC	No			
1.9.1.1	TPSE Financing, LLC	No			
1.9.1.1.1	Receivable from Six Below, LLC	N/A	\$50,001 - \$100,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account (institution #1) (checking/savings)	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
2	U.S. bank account (institution #4) (NOW Accounts)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
3	U.S. bank account (institution #5) (checking)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Receivable from STM Partners LLC	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
5	(line intentionally left blank)				
6	AT& T Inc. Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
7	Comcast Corporation Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
8	General Electric Co. Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	(line intentionally left blank)				
10	GS Financial Square Money Market Fund	Yes	Over \$50,000,000		\$100,001 - \$1,000,000
11	GS Financial Square Treasury Obligations Fund	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
12	International Business Machines Corp. Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
13	Seritage Growth Properties Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,000,001 - \$5,000,000
14	Verizon Communications Inc. Common Shares	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
15	Sears Holdings Corp. Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16	U.S. brokerage money market account (institution #2) (cash)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
17	(line intentionally left blank)				
17.1	(line intentionally left blank)				
17.1.1	(line intentionally left blank)				
17.1.2	(line intentionally left blank)				
17.1.3	(line intentionally left blank)				
17.1.3.1	(line intentionally left blank)				
17.1.3.2	(line intentionally left blank)				
17.1.3.3	(line intentionally left blank)				

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.1.3.3.1	(line intentionally left blank)					
17.1.4	(line intentionally left blank)					
17.1.4.1	(line intentionally left blank)					
17.1.5	(line intentionally left blank)					
18	Dune Real Estate Partners LLC	See Endnote	No	None (or less than \$1,001)	Partnership Income Capital Gains	\$705,526
18.1	Dune Real Estate Fund LP		No			
18.1.1	Leonard/Fulton Holdings, LLC (New York City For-Sale Residential)		No			
18.1.2	New York Standard Hotel JV (New York City Hotel)		No			
18.1.3	Co-Invest Hamlet Holdings B, LLC (Operating Company)		No			
18.1.3.1	Caesars Acquisition Company Shares		N/A			
18.1.4	1186 Broadway, LLC (New York City Hotel)		No			
18.1.5	McArthurGlen Europe (European Retail)		No			
18.1.6	U.S. bank account (institution #1) (cash)		N/A			
18.2	U.S. bank account (institution #1) (cash)		N/A			
19	ESL Partners, LP	See Endnote	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
20	Sebonak Bond	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
21	DM UTMA Account (institution #2)		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.1	(line intentionally left blank)				
21.2	(line intentionally left blank)				
21.3	(line intentionally left blank)				
21.4	(line intentionally left blank)				
21.5	(line intentionally left blank)				
21.6	(line intentionally left blank)				
21.7	(line intentionally left blank)				
21.8	Microsoft Corporation Common Shares	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
21.9	(line intentionally left blank)				
21.10	(line intentionally left blank)				
21.11	(line intentionally left blank)				
21.12	(line intentionally left blank)				
21.13	Seritage Growth Properties Common Shares	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
21.14	Verizon Communications Inc. Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
21.15	(line intentionally left blank)				
21.16	U.S. brokerage money market account (institution #2) (cash)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
22	JM UTMA Account (institution #2)	No			
22.1	(line intentionally left blank)				
22.2	(line intentionally left blank)				
22.3	(line intentionally left blank)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.4	(line intentionally left blank)				
22.5	(line intentionally left blank)				
22.6	(line intentionally left blank)				
22.7	(line intentionally left blank)				
22.8	Microsoft Corporation Common Shares	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
22.9	(line intentionally left blank)				
22.10	(line intentionally left blank)				
22.11	(line intentionally left blank)				
22.12	(line intentionally left blank)				
22.13	Seritage Growth Properties Common Shares	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
22.14	Verizon Communications Inc. Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
22.15	(line intentionally left blank)				
22.16	U.S. brokerage money market account (institution #2) (cash)	N/A	\$500,001 - \$1,000,000	Dividends	\$1,001 - \$2,500
23	EM - U.S. bank account (institution #4) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	JM - U.S. bank account (institution #4) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	DM - U.S. bank account (institution #4) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26	Trust F/BO EDM U/I/D 6/17/02	N/A			
26.1	AT& T Inc. Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.2	(line intentionally left blank)				
26.3	(line intentionally left blank)				
26.4	(line intentionally left blank)				
26.5	(line intentionally left blank)				
26.6	(line intentionally left blank)				
26.7	(line intentionally left blank)				
26.8	Microsoft Corporation Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
26.9	(line intentionally left blank)				
26.10	(line intentionally left blank)				
26.11	(line intentionally left blank)				
26.12	(line intentionally left blank)				
26.13	Seritage Growth Properties Common Shares	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.14	Verizon Communications Inc. Common Shares	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
26.15	(line intentionally left blank)				
26.16	U.S. brokerage money market account (institution #2) (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
27	The Steven T. Mnuchin Revocable Trust	N/A			
27.1	AT& T Inc. Common Shares	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
27.2	(line intentionally left blank)				
27.3	(line intentionally left blank)				



#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.4	CIT Group, Inc. Holdback on Merger	See Endnote	N/A	\$5,000,001 - \$25,000,000	Capital Gains	\$100,001 - \$1,000,000
27.5	Comcast Corporation Common Shares		N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
27.6	(line intentionally left blank)					
27.7	(line intentionally left blank)					
27.8	(line intentionally left blank)					
27.9	(line intentionally left blank)					
27.10	GS Financial Square Treasury Obligations Fund		Yes	None (or less than \$1,001)		\$5,001 - \$15,000
27.11	(line intentionally left blank)					
27.12	(line intentionally left blank)					
27.13	(line intentionally left blank)					
27.14	(line intentionally left blank)					
27.15	(line intentionally left blank)					
27.16	(line intentionally left blank)					
27.17	(line intentionally left blank)					
27.18	(line intentionally left blank)					
27.19	Verizon Communications Inc. Common Shares		N/A	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
27.20	Sears Holdings Corp. Bond		N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
27.21	U.S. brokerage money market account (institution #2) (cash)		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.22	The Blackstone Group LP CMN See Endnote	N/A	None (or less than \$1,001)	Partnership Income	\$4,325
27.23	Interest in Willem de Kooning Oil Painting "Untitled III", 1978	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
27.24	STM Partners LLC	N/A			
27.24.1	U.S. bank account (institution #1) (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
27.24.2	GS Financial Square Treasury Obligations Fund	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
27.24.3	U.S. brokerage money market account (institution #2) (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
27.24.4	iHeart Communications, Inc. Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$100,001 - \$1,000,000
27.24.5	(line intentionally left blank)				
27.24.5.1	(line intentionally left blank)				
27.24.5.1.1	(line intentionally left blank)				
27.24.5.1.2	(line intentionally left blank)				
27.24.5.1.3	(line intentionally left blank)				
27.24.5.1.3.1	(line intentionally left blank)				
27.24.5.1.3.2	(line intentionally left blank)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.24. 5.1.3.2 .1	(line intentionally left blank)				
27.24. 5.1.4	(line intentionally left blank)				
27.24. 5.1.4.1	(line intentionally left blank)				
27.24. 5.1.5	(line intentionally left blank)				
27.24. 6	Dune Real Estate Partners LLC See Endnote	No	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
27.24. 6.1	Dune Real Estate Fund LP	No			
27.24. 6.1.1	Leonard/Fulton Holdings, LLC (New York City For-Sale Residential)	No			
27.24. 6.1.2	New York Standard Hotel JV (New York City Hotel)	No			
27.24. 6.1.3	Co-Invest Hamlet Holdings B, LLC (Operating Company)	No			
27.24. 6.1.3.1	Caesars Acquisition Company Shares	N/A			
27.24. 6.1.4	1186 Broadway, LLC (New York City Hotel)	No			
27.24. 6.1.5	McArthurGlen Europe (European Retail)	No			
27.24. 6.1.6	U.S. bank account (institution #1) (cash)	N/A			
27.24. 6.2	U.S. bank account (institution #1) (cash)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.24.7	Dune Real Estate Partners II LLC See Endnote	No	None (or less than \$1,001)	Partnership Income	\$81,937
27.24.7.1	Dune Real Estate Fund II LP	No			
27.24.7.1.1	DR/SP Orlando JV Investor LLC (Lake Buena Vista, FL Hotel)	No			
27.24.7.1.2	DK Santa Monica LLC (Santa Monica, CA Mixed-Use)	No			
27.24.7.1.3	SDC Fairway Delta JV, LLC (Beaumont, CA Single Fam. Res. Land)	No			
27.24.7.1.4	Dune FL Land I LLC (Tampa, FL Single Family Residential Land)	No			
27.24.7.1.5	DREP RRI LLC (47 Hotels across 21 states)	No			
27.24.7.1.6	DP Portfolio Investors, LLC (Washington, DC Multifamily)	No			
27.24.7.1.7	DW MLB Owner LLC / DW MLB LLC (Melbourne, FL Hotel)	No			
27.24.7.1.8	Dune FB LLC (Tampa, FL Single Family Residential Land)	No			
27.24.7.1.9	Dune OW LLC (Irvine, CA Office Building)	No			
27.24.7.1.10	DM Chesapeake LLC (Glen Burnie, MD Multifamily)	No			
27.24.7.1.11	McArthurGlen Europe (European Retail)	No			
27.24.7.1.12	U.S. bank account (institution #1) (cash)	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.24. 7.1.13	Arioso Apartments (Dallas, TX Multifamily)		N/A			
27.24. 7.1.14	TDI/Dune Real Estate Investments LLC (Austin, TX Multifamily)		N/A			
27.24. 7.1.15	DR Mission Oaks LLC (Camarillo, CA Industrial)		N/A			
27.24. 7.2	U.S. bank account (institution #1) ( restricted cash)	See Endnote	N/A			
27.24. 7.3	U.S. bank account (institution #1) (cash)		No			
27.24. 7.4	Dune Real Estate Parallel Fund II LP	See Endnote	No			
27.24. 7.5	Dune Parallel AIV II LP	See Endnote	No			
27.24. 7.6	DREF II NA Fund LP	See Endnote	No			
27.24. 7.7	DREF II International Fund LP	See Endnote	No			
27.24. 8	(line intentionally left blank)					
27.24. 9	(line intentionally left blank)					
27.24. 10	Dune Capital Partners III LLC		No			
27.24. 10.1	Ratpac-Dune Entertainment Holdings LLC		No			
27.24. 10.1.1	Ratpac-Dune Entertainment LLC		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.24. 10.1.1. 1	WDR Films		No			
27.24. 10.1.1. 1.1	Agreement with Warner Brothers	See Endnote	N/A	None (or less than \$1,001)	Partnership Income	\$1,408,812
27.24. 11	Dune Entertainment Partners LLC	See Endnote	N/A	None (or less than \$1,001)	Partnership Income	\$839
27.24. 12	East End Investors LLC	See Endnote	No	None (or less than \$1,001)	Partnership Income	\$770,442
27.24. 12.1	Bellport Investors I, LLC		No			
27.24. 12.1.1	Bellport Mezz I, LLC		No			
27.24. 12.1.1. 1	Bellport Property Investors I, LLC		No			
27.24. 12.1.1. 1.1	Residential Real Estate located in Bellport, NY		N/A			
27.24. 13	HMBAP LLC		No			
27.24. 13.1	Residential Real Estate located in California		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
27.24. 14	EMT Air LLC	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
27.24. 15	Receivable from Halo Direct, LLC		N/A	\$100,001 - \$250,000		None (or less than \$201)
27.24. 16	Receivable from Stormchaser Partners LLC	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.24.17	Cabo Casa 45, LLC	No			
27.24.17.1	Investment land, Cabo San Lucas, Mexico (parcel 1)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
27.24.17.2	Investment land, Cabo San Lucas, Mexico (parcel 2)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
28	The Steven T. Mnuchin 2000 Family Trust	No			
28.1	(line intentionally left blank)				
28.2	(line intentionally left blank)				
28.3	General Electric Co. Common Shares	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
28.4	(line intentionally left blank)				
28.5	GS Financial Square Treasury Obligations Fund	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
28.6	(line intentionally left blank)				
28.7	(line intentionally left blank)				
28.8	(line intentionally left blank)				
28.9	(line intentionally left blank)				
28.10	(line intentionally left blank)				
28.11	(line intentionally left blank)				
28.12	(line intentionally left blank)				
28.13	Seritage Growth Properties Common Shares	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
28.14	Verizon Communications Inc. Common Shares	N/A	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28.15	(line intentionally left blank)				
28.16	(line intentionally left blank)				
28.16.1	(line intentionally left blank)				
28.17	U.S. brokerage money market account (institution #2) (cash)	N/A	\$5,000,001 - \$25,000,000	Interest	\$15,001 - \$50,000
28.18	GS Financial Square Money Market Fund	Yes	\$5,000,001 - \$25,000,000		\$50,001 - \$100,000
29	(line intentionally left blank)				
29.1	(line intentionally left blank)				
29.1.1	(line intentionally left blank)				
30	Steven T. Mnuchin 2002 Family Trust	N/A			
30.1	ESL Partners, LP	See Endnote	Yes	None (or less than \$1,001)	\$15,001 - \$50,000
31	SHM Partners II, LLC	No			
31.1	GS Financial Square Treasury Solutions Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
31.2	U.S. brokerage money market account (institution #2) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	SHM Investments LLC	No			
32.1	U.S. bank account (institution #1) (cash)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
32.2	(line intentionally left blank)				
32.2.1	(line intentionally left blank)				
32.3	Dune Capital Management LP	No			



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.3.1	U.S. bank account (institution #1) (cash)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
33	(line intentionally left blank)				
33.1	(line intentionally left blank)				
33.2	(line intentionally left blank)				
33.2.1	(line intentionally left blank)				
33.2.1.1	(line intentionally left blank)				
33.2.1.1.1	(line intentionally left blank)				
33.3	(line intentionally left blank)				
33.3.1	(line intentionally left blank)				
33.3.1.1	(line intentionally left blank)				
33.3.1.2	(line intentionally left blank)				
33.3.1.3	(line intentionally left blank)				
33.3.1.3.1	(line intentionally left blank)				
33.3.1.4	(line intentionally left blank)				
33.3.1.5	(line intentionally left blank)				
33.3.1.6	(line intentionally left blank)				
33.3.2	(line intentionally left blank)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.4	(line intentionally left blank)				
33.4.1	(line intentionally left blank)				
33.4.1. 1	(line intentionally left blank)				
33.4.1. 2	(line intentionally left blank)				
33.4.1. 3	(line intentionally left blank)				
33.4.1. 4	(line intentionally left blank)				
33.4.1. 5	(line intentionally left blank)				
33.4.1. 6	(line intentionally left blank)				
33.4.1. 7	(line intentionally left blank)				
33.4.1. 8	(line intentionally left blank)				
33.4.1. 9	(line intentionally left blank)				
33.4.1. 10	(line intentionally left blank)				
33.4.1. 11	(line intentionally left blank)				
33.4.1. 12	(line intentionally left blank)				
33.4.1. 13	(line intentionally left blank)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.4.1. 14	(line intentionally left blank)				
33.4.1. 15	(line intentionally left blank)				
33.4.2	(line intentionally left blank)				
33.4.3	(line intentionally left blank)				
33.4.4	(line intentionally left blank)				
33.4.5	(line intentionally left blank)				
33.4.6	(line intentionally left blank)				
33.4.7	(line intentionally left blank)				
33.5	(line intentionally left blank)				
33.6	(line intentionally left blank)				
33.6.1	(line intentionally left blank)				
33.7	(line intentionally left blank)				
34	Steven T. Mnuchin Inc.	No			
34.1	GS Financial Square Treasury Instruments Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
34.2	(line intentionally left blank)				
34.2.1	(line intentionally left blank)				
34.3	(line intentionally left blank)				
34.3.1	(line intentionally left blank)				
34.3.2	(line intentionally left blank)				
34.3.3	(line intentionally left blank)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.3.4	(line intentionally left blank)				
34.4	(line intentionally left blank)				
34.4.1	(line intentionally left blank)				
34.4.2	(line intentionally left blank)				
34.4.3	(line intentionally left blank)				
34.4.4	(line intentionally left blank)				
34.4.5	(line intentionally left blank)				
34.5	(line intentionally left blank)				
34.6	(line intentionally left blank)				
34.7	U.S. brokerage money market account (institution #2) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
35	Steven Mnuchin Dynasty Trust I	No			
35.1	GS Financial Square Money Market Fund	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
35.2	U.S. brokerage money market account (institution #2) (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
35.3	CIT Group, Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	Over \$5,000,000
35.4	(line intentionally left blank)				
35.5	CIT Group, Inc. Holdback on Merger	See Endnote	N/A	Over \$1,000,000	Capital Gains \$15,001 - \$50,000
35.6	SHM Partners II	No			
35.6.1	GS Financial Square Treasury Solutions Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.6.2	U.S. brokerage money market account (institution #2) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
35.7	STM Partners LLC	No			
35.7.1	U.S. bank account (institution #1) (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
35.7.2	GS Financial Square Treasury Obligations Fund	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
35.7.3	U.S. brokerage money market account (institution #2) (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
35.7.4	iHeart Communications, Inc. Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$100,001 - \$1,000,000
35.7.5	(line intentionally left blank)				
35.7.5.1	(line intentionally left blank)				
35.7.5.1.1	(line intentionally left blank)				
35.7.5.1.2	(line intentionally left blank)				
35.7.5.1.3	(line intentionally left blank)				
35.7.5.1.3.1	(line intentionally left blank)				
35.7.5.1.3.2	(line intentionally left blank)				
35.7.5.1.3.3	(line intentionally left blank)				
35.7.5.1.3.3.1	(line intentionally left blank)				

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.7.5.1.4	(line intentionally left blank)					
35.7.5.1.4.1	(line intentionally left blank)					
35.7.5.1.5	(line intentionally left blank)					
35.7.6	(line intentionally left blank)					
35.7.6.1	(line intentionally left blank)					
35.7.6.1.1	(line intentionally left blank)					
35.7.6.1.2	(line intentionally left blank)					
35.7.6.1.3	(line intentionally left blank)					
35.7.6.1.3.1	(line intentionally left blank)					
35.7.6.1.4	(line intentionally left blank)					
35.7.6.1.5	(line intentionally left blank)					
35.7.6.1.6	(line intentionally left blank)					
35.7.6.2	(line intentionally left blank)					
35.7.7	Dune Real Estate Partners II LLC	See Endnote	No	None (or less than \$1,001)	Partnership Income	\$81,937
35.7.7.1	Dune Real Estate Fund II LP		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.7.7.1.1	DR/SP Orlando JV Investor LLC (Lake Buena Vista, FL Hotel)	No			
35.7.7.1.2	DK Santa Monica LLC (Santa Monica, CA Mixed-Use)	No			
35.7.7.1.3	SDC Fairway Delta JV, LLC (Beaumont, CA Single Fam. Res. Land)	No			
35.7.7.1.4	Dune FL Land I LLC (Tampa, FL Single Family Residential Land)	No			
35.7.7.1.5	DREP RRI LLC (47 Hotels across 21 states)	No			
35.7.7.1.6	DP Portfolio Investors, LLC (Washington, DC Multifamily)	No			
35.7.7.1.7	DW MLB Owner LLC / DW MLB LLC (Melbourne, FL Hotel)	No			
35.7.7.1.8	Dune FB LLC (Tampa, FL Single Family Residential Land)	No			
35.7.7.1.9	Dune OW LLC (Irvine, CA Office Building)	No			
35.7.7.1.10	DM Chesapeake LLC (Glen Burnie, MD Multifamily)	No			
35.7.7.1.11	McArthurGlen Europe (European Retail)	No			
35.7.7.1.12	U.S. bank account (institution #1) (cash)	N/A			
35.7.7.1.13	Arioso Apartments (Dallas, TX Multifamily)	N/A			
35.7.7.1.14	TDI/Dune Real Estate Investments LLC (Austin, TX Multifamily)	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.7.7.1.15	DR Mission Oaks LLC (Camarillo, CA Industrial)		N/A			
35.7.7.2	U.S. bank account (institution #1) (restricted cash)	See Endnote	N/A			
35.7.7.3	U.S. bank account (institution #1) (cash)		N/A			
35.7.7.4	Dune Real Estate Parallel Fund II LP	See Endnote	No			
35.7.7.5	Dune Parallel AIV II LP	See Endnote	No			
35.7.7.6	DREF II NA Fund LP	See Endnote	No			
35.7.7.7	DREF II International Fund LP	See Endnote	No			
35.7.8	(line intentionally left blank)					
35.7.9	(line intentionally left blank)					
35.7.10	Dune Capital Partners III LLC		No			
35.7.10.1	Ratpac-Dune Entertainment Holdings LLC		No			
35.7.10.1.1	Ratpac-Dune Entertainment LLC		No			
35.7.10.1.1.1	WDR Films		No			
35.7.10.1.1.1.1	Agreement with Warner Brothers	See Endnote	N/A	None (or less than \$1,001)	Partnership Income	\$1,408,812
35.7.11	Dune Entertainment Partners LLC	See Endnote	N/A	None (or less than \$1,001)	Partnership Income	\$839



#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.7.1 2	(line intentionally left blank)					
35.7.1 2.1	(line intentionally left blank)					
35.7.1 2.1.1	(line intentionally left blank)					
35.7.1 2.1.1.1	(line intentionally left blank)					
35.7.1 2.1.1.1 .1	(line intentionally left blank)					
35.7.1 3	HMBAP LLC		No			
35.7.1 3.1	Residential Real Estate located in California		N/A	Over \$1,000,000		None (or less than \$201)
35.7.1 4	(line intentionally left blank)					
35.7.1 4.1	(line intentionally left blank)					
35.7.1 5	EMT Air LLC	See Endnote	No	Over \$1,000,000		None (or less than \$201)
35.7.1 6	Receivable from Halo Direct, LLC		N/A	\$100,001 - \$250,000		None (or less than \$201)
35.7.1 7	Receivable from Stormchaser Partners LLC	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
35.7.1 8	Cabo Casa 45, LLC		No			
35.7.1 8.1	Investment land, Cabo San Lucas, Mexico (parcel 1)		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.7.1 8.2	Investment land, Cabo San Lucas, Mexico (parcel 2)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
35.8	Interest in Willem de Kooning Oil Painting "Untitled III", 1978	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
35.9	(line intentionally left blank)				
35.10	U.S. brokerage money market account (institution #2)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
35.11	VANGUARD SHORT TERM CMN SERIES CLASS CORP. BOND ETF	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
35.12	GS HIGH YIELD FUND INSTITUTIONAL SHARES	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
35.13	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
35.14	VANGUARD S&P 500 ETF	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
35.15	Dune Real Estate Partners LLC	See Endnote	No	Capital Gains	\$100,001 - \$1,000,000
35.15.1	Dune Real Estate Fund LP	No			
35.15.1.1	Leonard/Fulton Holdings, LLC (New York City For-Sale Residential)	No			
35.15.1.2	New York Standard Hotel JV (New York City Hotel)	No			
35.15.1.3	Co-Invest Hamlet Holdings B, LLC (Operating Company)	No			
35.15.1.3.1	Caesars Acquisition Company Shares	N/A			
35.15.1.4	1186 Broadway, LLC (New York City Hotel)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.15.1.5	McArthurGlen Europe (European Retail)	No			
35.15.1.6	U.S. bank account (institution #1) (cash)	N/A			
35.15.2	U.S. bank account (institution #1) (cash)	N/A			
35.16	Dune Real Estate Partners II LLC	See Endnote	No	None (or less than \$1,001)	Capital Gains \$100,001 - \$1,000,000
35.16.1	Dune Real Estate Fund II LP	No			
35.16.1.1	DR/SP Orlando JV Investor LLC (Lake Buena Vista, FL Hotel)	No			
35.16.1.2	DK Santa Monica LLC (Santa Monica, CA Mixed-Use)	No			
35.16.1.3	SDC Fairway Delta JV, LLC (Beaumont, CA Single Fam. Res. Land)	No			
35.16.1.4	Dune FL Land I LLC (Tampa, FL Single Family Residential Land)	No			
35.16.1.5	DREP RRI LLC (47 Hotels across 21 states)	No			
35.16.1.6	DP Portfolio Investors, LLC (Washington, DC Multifamily)	No			
35.16.1.7	DW MLB Owner LLC / DW MLB LLC (Melbourne, FL Hotel)	No			
35.16.1.8	Dune FB LLC (Tampa, FL Single Family Residential Land)	No			
35.16.1.9	Dune OW LLC (Irvine, CA Office Building)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.16.1.10	DM Chesapeake LLC (Glen Burnie, MD Multifamily)	No			
35.16.1.11	McArthurGlen Europe (European Retail)	No			
35.16.1.12	U.S. bank account (institution #1) (cash)	N/A			
35.16.1.13	Arioso Apartments (Dallas, TX Multifamily)	N/A			
35.16.1.14	TDI/Dune Real Estate Investments LLC (Austin, TX Multifamily)	N/A			
35.16.1.15	DR Mission Oaks LLC (Camarillo, CA Industrial)	N/A			
35.16.2	U.S. bank account (institution #1) (restricted cash)	N/A			
35.16.3	U.S. bank account (institution #1) (cash)	N/A			
35.16.4	Dune Real Estate Parallel Fund II LP	No			
35.16.5	Dune Parallel AIV II LP	No			
35.16.6	DREF II NA Fund LP	No			
35.16.7	DREF II International Fund LP	No			
35.17	Dune Capital Partners III LLC	No			
35.17.1	Ratpac-Dune Entertainment Holdings LLC	No			
35.17.1.1	Ratpac-Dune Entertainment LLC	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.17.1.1.1	WDR Films		No			
35.17.1.1.1.1	Agreement with Warner Brothers	See Endnote	No	None (or less than \$1,001)	Capital Gains	Over \$5,000,000
35.18	Ishares Core S&P 500 ETF		Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
35.19	Ishares 1-3 Year Credit Bond		Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
35.20	JPM High Yield Fund -R6 Fund		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
35.21	T Rowe Price Institutional Float RT		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
35.22	U.S. brokerage money market account (institution #7)		N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
36	The Steven Mnuchin 2007 Family Trust					
36.1	STM Partners LLC		No			
36.1.1	Dune Real Estate Partners LLC	See Endnote	No	None (or less than \$1,001)	Partnership Income	\$56,687
36.1.1.1	Dune Real Estate Fund LP		No			
36.1.1.1.1	Leonard/Fulton Holdings, LLC (New York City For-Sale Residential)		No			
36.1.1.1.2	New York Standard Hotel JV (New York City Hotel)		No			
36.1.1.1.3	Co-Invest Hamlet Holdings B, LLC (Operating Company)		No			
36.1.1.1.3.1	Caesars Acquisition Company Shares		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36.1.1.1.4	1186 Broadway, LLC (New York City Hotel)	No			
36.1.1.1.5	McArthurGlen Europe (European Retail)	No			
36.1.1.1.6	U.S. bank account (institution #1) (cash)	N/A			
36.1.1.2	U.S. bank account (institution #1) (cash)	N/A			
36.1.2	East End Investors LLC	See Endnote	No	None (or less than \$1,001)	Partnership Income \$770,442
36.1.2.1	Bellport Investors I, LLC	No			
36.1.2.1.1	Bellport Mezz I, LLC	No			
36.1.2.1.1.1	Bellport Property Investors I, LLC	No			
36.1.2.1.1.1.1	Residential Real Estate located in Bellport, NY	N/A			
37	Steven Mnuchin GST Trust	No			
37.1	(line intentionally left blank)				
37.1.1	(line intentionally left blank)				
37.2	(line intentionally left blank)				
37.3	U.S. brokerage money market account (institution #2) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
38	Steven T. Mnuchin 1996 Trust	No			
38.1	New York Life general account whole life insurance policy	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	Dune Capital Management GP LLC	No			
39.1	Dune Capital Management LP	No			
39.1.1	U.S. bank account (institution #1) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
40	Beverly Drive Revocable Trust	No			
40.1	Beverly Drive LLC	No			
40.1.1	Residential Real Estate located in Beverly Hills, CA	N/A	None (or less than \$1,001)	Interest Capital Gains	\$100,001 - \$1,000,000
40.1.2	Residential Real Estate located in Los Angeles, CA	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
41	(line intentionally left blank)				
41.1	(line intentionally left blank)				
42	(line intentionally left blank)				
43	EM Interest in Fourcade LLC	No	None (or less than \$1,001)	Partnership Income	\$1,415,587
43.1	Artwork held for investment	N/A			
44	JM Interest in Fourcade LLC	No	None (or less than \$1,001)	Partnership Income	\$485,308
44.1	Artwork held for investment	N/A			
45	DM Interest in Fourcade LLC	No	None (or less than \$1,001)	Partnership Income	\$485,308
45.1	Artwork held for investment	N/A			
46	ETC Estate				
46.1	U.S. bank account (institution #1) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46.2	(line intentionally left blank)					
46.3	U.S. bank account (institution #2) (cash)		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
47	Goldman Sach Group Inc Common		N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,000,001 - \$5,000,000
48	Microsoft Corporation CMN		N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,000,001 - \$5,000,000
49	The Blackstone Group LP CMN	See Endnote	N/A	None (or less than \$1,001)	Partnership Income	\$8,591
50	CIT Group Inc. Common	See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	Over \$5,000,000
51	Berkshire Hathaway Class A		N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
52	VANGUARD SHORT TERM CMN SERIES CLASS CORP. BOND ETF		Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
53	GS HIGH YIELD FUND INSTITUTIONAL SHARES		Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
54	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES		Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
55	VANGUARD S&P 500 ETF		Yes	\$25,000,001 - \$50,000,000		\$100,001 - \$1,000,000
56	U.S. brokerage account (institution #2) (cash)		Yes	\$500,001 - \$1,000,000		\$1,001 - \$2,500
57	Ishares 1-3 Year Credit Bond		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
58	Ishares Core S&P 500 ETF		Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
59	T Rowe Price Institutional Float RT		Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
60	JPM High Yield Fund -R6 Fund	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
61	U.S. bank account (institution #6) (cash)	N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
62	Dune Real Estate Partners II LLC	See Endnote	No	Capital Gains	\$100,001 - \$1,000,000
62.1	Dune Real Estate Fund II LP	No			
62.1.1	DR/SP Orlando JV Investor LLC (Lake Buena Vista, FL Hotel)	No			
62.1.2	DK Santa Monica LLC (Santa Monica, CA Mixed-Use)	No			
62.1.3	SDC Fairway Delta JV, LLC (Beaumont, CA Single Fam. Res. Land)	No			
62.1.4	Dune FL Land I LLC (Tampa, FL Single Family Residential Land)	No			
62.1.5	DREP RRI LLC (47 Hotels across 21 states)	No			
62.1.6	DP Portfolio Investors, LLC (Washington, DC Multifamily)	No			
62.1.7	DW MLB Owner LLC / DW MLB LLC (Melbourne, FL Hotel)	No			
62.1.8	Dune FB LLC (Tampa, FL Single Family Residential Land)	No			
62.1.9	Dune OW LLC (Irvine, CA Office Building)	No			
62.1.10	DM Chesapeake LLC (Glen Burnie, MD Multifamily)	No			
62.1.11	McArthurGlen Europe (European Retail)	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62.1.1 2	U.S. bank account (institution #1) (cash)		N/A			
62.1.1 3	Arioso Apartments (Dallas, TX Multifamily)		N/A			
62.1.1 4	TDI/Dune Real Estate Investments LLC (Austin, TX Multifamily)		N/A			
62.1.1 5	DR Mission Oaks LLC (Camarillo, CA Industrial)		N/A			
62.2	U.S. bank account (institution #1) (restricted cash)		N/A			
62.3	U.S. bank account (institution #1) (cash)		No			
62.4	Dune Real Estate Parallel Fund II LP		No			
62.5	Dune Parallel AIV II LP		No			
62.6	DREF II NA Fund LP		No			
62.7	DREF II International Fund LP		No			
63	Receivable from Alan G Mnuchin GST Trust	See Endnote	No	\$25,000,001 - \$50,000,000		None (or less than \$201)
64	Dune Capital Partners III LLC		No			
64.1	Ratpac-Dune Entertainment Holdings LLC		No			
64.1.1	Ratpac-Dune Entertainment LLC		No			
64.1.1.1	WDR Films		No			
64.1.1.1.1	Agreement with Warner Brothers	See Endnote	No	None (or less than \$1,001)	Capital Gains	Over \$5,000,000
65	Receivable from Beverly Drive Revocable Trust	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
66	EM UTMA Account (institution #2)	N/A			
66.1	U.S. brokerage money market account (institution #2) (cash)	No	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
67	Bank of Scotland Cash Account 1	No	\$100,001 - \$250,000		
68	Bank of Scotland Cash Account 2	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
69	WG Hay 1978 Trust	N/A			
69.1	Commercial real estate, Edinburgh, Scotland	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$201 - \$1,000
69.2	Commercial real estate, Edinburgh, Scotland	N/A	\$100,001 - \$250,000		None (or less than \$201)
69.3	Commercial real estate, Edinburgh, Scotland	N/A	\$15,001 - \$50,000		None (or less than \$201)
69.4	Bank of Scotland Cash Account	N/A	\$50,001 - \$100,000		None (or less than \$201)
70	Intruder LLC	N/A			
70.1	U.S. bank account (institution #8) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
70.2	Intruder film	See Endnote	\$1,001 - \$15,000	Film Income	\$15,605
71	American Funds Growth Fund of America Class A (AGTHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
72	American Funds SMALLCAP World Fund Class A (SMCWX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
73	American Funds Washington Mutual Investors Fund Class A (AWSHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
74	The Rockshiel Trust	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
74.1	Residential real estate, Edinburgh, Scotland	N/A	\$15,001 - \$50,000		None (or less than \$201)
74.2	Bank of Scotland Cash Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
75	Residential real estate, Edinburgh, Scotland	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
76	The Steven T. Mnuchin 2017 Family Trust	See Endnote	N/A	None (or less than \$1,001)	None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	T Rowe Price Institutional Float RT	Purchase	Multiple	\$1,000,001 - \$5,000,000
2	JPM High Yield Fund -R6 Fund	Purchase	Multiple	\$1,000,001 - \$5,000,000
3	Ishares 1-3 Year Credit Bond	Purchase	Multiple	\$5,000,001 - \$25,000,000
4	Ishares Core S&P 500 ETF	Purchase	Multiple	\$1,000,001 - \$5,000,000
5	VANGUARD SHORT TERM CMN SERIES . CLASS CORP. BOND ETF	Purchase	Muliple	\$5,000,001 - \$25,000,000
6	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	Purchase	Multiple	\$5,000,001 - \$25,000,000
7	GS HIGH YIELD FUND INSTITUTIONAL SHARES	Purchase	Multiple	\$5,000,001 - \$25,000,000
8	VANGUARD S&P 500 ETF	Purchase	Multiple	\$25,000,001 - \$50,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
9	T Rowe Price Personal Strategy Balance Fund	Sale	Multiple	\$250,001 - \$500,000
10	Vanguard Institutional Target Retirement 2025 Fund	Purchase	Multiple	\$250,001 - \$500,000
11	Mid Cap Value Equity Fund - GSAM	Sale	Multiple	\$250,001 - \$500,000
12	Multi-Strategy Hedge Fund-OZ	Sale	Multiple	\$15,001 - \$50,000
13	Large Cap Value Equity Fund - GSAM	Sale	Multiple	\$15,001 - \$50,000
14	Concentrated Val Eq - Dmnd Hill	Purchase	Multiple	\$15,001 - \$50,000
15	Mid Cap Value Eq - Sycamore	Purchase	Multiple	\$250,001 - \$500,000
16	Lrg Cap Value Idx - Blackrock	Purchase	Multiple	\$50,001 - \$100,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	(line intentionally left blank)					
2	Dune Real Estate Partners	Capital Call	\$100,001 - \$250,000	2005	NA	On demand
3	(line intentionally left blank)					
4	AMEX	Credit Card	\$50,001 - \$100,000	2017	10.65%	Revolving

## 9. Gifts and Travel Reimbursements

None

### Endnotes

PART	#	ENDNOTE
1.	27	The start date listed is an approximation.
1.	28	This entity is an Anguilla company treated as a partnership for US tax purposes with its principal office located in NY.
5.	1	Approved by Treasury.
6.	18	The income of \$705,526 consists of \$683,828 long term capital gain on the sale of the partnership interest in 2017 as well as \$21,698 pass through income from Form 1065, Schedule K-1 that includes \$10,840 ordinary income, \$10,332 rental loss, \$1,648 interest income, \$108,435 long term capital loss, \$118,962 section 1231 gain, \$12,404 other income, and \$3,389 deductions.
6.	19	The income reported on this line is an estimate as actual 2017 income is not yet available.
6.	20	This bond represents a debt obligation of a golf club on Long Island, NY.
6.	27.4	This asset is a holdback amount from the merger of IMB Holdings LLC with CIT Group, Inc. Under the provisions of the merger, approximately \$6 million due to the Revocable Trust is being reserved by CIT Group, Inc. in order to cover certain contingent liabilities of IMB Holdings, LLC. Any excess is expected to be distributed to the Revocable Trust between August 2018 and August 2020.
6.	27.22	Total taxable income reported on Schedule K-1 Form 1065 for this Publicly Traded Partnership.
6.	27.24.6	The income reported is in connection with the capital gain realized by The Steven Mnuchin Revocable Trust in connection with the sale of Dune Real Estate Partners LLC that was distributed by STM Partners to the trust and sold in 2017.
6.	27.24.7	The income of \$81,937 consists of pass through income from Form 1065, Schedule K-1 that includes \$1,407 ordinary income, \$3,658 rental loss, \$35 interest income, \$4,116 dividend income, \$12,723 long term capital gains, \$67,376 section 1231 gains, \$74 other income, and \$135 other deductions.

PART	#	ENDNOTE
6.	27.24.7.2	The restricted cash account holds a portion of the entity's carried interest until certain thresholds are met.
6.	27.24.7.4	The underlying assets of Dune Real Estate Parallel Fund II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 27.24.7.1.1 - 27.24.7.1.15.
6.	27.24.7.5	The underlying assets of Dune Parallel AIV II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 27.24.7.1.1 - 27.24.7.1.15.
6.	27.24.7.6	The underlying assets of DREF II NA Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 27.24.7.1.1 - 27.24.7.1.15.
6.	27.24.7.7	The underlying assets of DREF II International Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 27.24.7.1.1 - 27.24.7.1.15.
6.	27.24.10.1.1.1.1	The income reported on this line is an estimate as actual 2017 income is not yet available. This agreement involved an interest in the rights to 52 existing and 23 future films by Warner Bros ("WB"). RatPac-Dune LLC ("RPD") is a passive investor in each film and receives an income stream with respect to each film. RPD invests its share of the production costs and in return RPD receives the "ultimates" for each film 6 weeks after the film was released. The ultimates are WB's estimate of receipts and distribution costs (including residuals to the unions and participations to producers, actors, directors, etc. that are involved in the film). RPD has a copyright interest in the individual films.
6.	27.24.11	Los Angeles, CA - Entertainment company.
6.	27.24.12	The income of \$770,442 consists of pass through income from Form 1065, Schedule K-1 that includes \$21,249 long term capital gains, \$762,167 section 1231 gains, and \$12,974 rental loss.
6.	27.24.14	Los Angeles, CA - Held and operated a small airplane used solely by the filer for both business and personal reasons.
6.	27.24.16	The receivable is due in full on 5/9/2026 and interest is due annually at 2.04% of the unpaid receivable per year.
6.	30.1	The income reported on this line is an estimate as actual 2017 income is not yet available.
6.	35.5	This asset is a holdback amount from the merger of IMB Holdings LLC with CIT Group, Inc. Under the provisions of the merger, approximately \$2 million due to the Dynasty Trust I is being reserved by CIT Group, Inc. in order to cover certain contingent liabilities of IMB Holdings, LLC. Any excess is expected to be distributed to the Dynasty Trust I between August 2018 and August 2020.
6.	35.7.7	The income of \$81,937 consists of pass through income from Form 1065, Schedule K-1 that includes \$1,407 ordinary income, \$3,658 rental loss, \$35 interest income, \$4,116 dividend income, \$12,723 long term capital gains, \$67,376 section 1231 gains, \$74 other income, and \$135 other deductions.

PART	#	ENDNOTE
6.	35.7.7.2	The restricted cash account holds a portion of the entity's carried interest until certain thresholds are met.
6.	35.7.7.4	The underlying assets of Dune Real Estate Parallel Fund II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 35.7.7.1.1 - 35.7.7.1.15.
6.	35.7.7.5	The underlying assets of Dune Parallel AIV II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 35.7.7.1.1 - 35.7.7.1.15.
6.	35.7.7.6	The underlying assets of DREF II NA Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 35.7.7.1.1 - 35.7.7.1.15.
6.	35.7.7.7	The underlying assets of DREF II International Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 35.7.7.1.1 - 35.7.7.1.15.
6.	35.7.10.1.1.1.1	The income reported on this line is an estimate as actual 2017 income is not yet available. This agreement involved an interest in the rights to 52 existing and 23 future films by Warner Bros ("WB"). RatPac-Dune LLC ("RPD") is a passive investor in each film and receives an income stream with respect to each film. RPD invests its share of the production costs and in return RPD receives the "ultimates" for each film 6 weeks after the film is released. The ultimates are WB's estimate of receipts and distribution costs (including residuals to the unions and participations to producers, actors, directors, etc. that are involved in the film). RPD has a copyright interest in the individual films.
6.	35.7.11	Los Angeles, CA - Entertainment company.
6.	35.7.15	Los Angeles, CA - Held and operated a small airplane used solely by the filer for both business and personal reasons.
6.	35.7.17	The receivable is due in full on 5/9/2026 and interest is due annually at 2.04% of the unpaid receivable per year.
6.	35.15	The income reported on this line is in connection with the capital gain realized by The Steven Mnuchin Dynasty Trust in connection with the sale of Dune Real Estate Partners LLC that was distributed by STM Partners to the trust and sold in 2017.
6.	35.16	The income reported on this line is in connection with the capital gain realized by The Steven Mnuchin Dynasty Trust in connection with the sale of Dune Real Estate Partners II LLC that was distributed by STM Partners to the trust and sold in 2017.
6.	35.17.1.1.1.1	The income reported on this line is in connection with the capital gain realized by The Steven Mnuchin Dynasty Trust in connection with the sale of Dune Real Estate Partners III LLC that was distributed by STM Partners to the trust and sold in 2017.
6.	36.1.1	This is rental real estate income from Dune Real Estate Partners for 2017 through STM Partners LLC.



PART	#	ENDNOTE
6.	36.1.2	The income of \$770,442 consists of pass through income from Form 1065, Schedule K-1 that includes \$21,249 long term capital gains, \$762,167 section 1231 gains, and \$12,974 rental loss.
6.	49	This is the total taxable income reported through Schedule K-1 Form 1065 for this Publicly Traded Partnership.
6.	50	The income reported on this line is in connection to CIT Group Inc. Common shares that were transferred from the Steven Mnuchin Revocable Trust to an account owned directly and then sold.
6.	62	Dune Real Estate Partners II LLC was distributed by STM Partners LLC to The Steven Mnuchin Revocable Trust, which was then sold by the filer, individually, to an unrelated party. The capital gain reported is the amount realized by the filer upon sale to the unrelated party. There was no capital gain upon distribution from STM Partners LLC or from The Steven Mnuchin Revocable Trust.
6.	63	The receivable is due in full on 5/8/2026 and interest is due annually at 2.04% of the unpaid receivable per year.
6.	64.1.1.1.1	Dune Capital Partners III was distributed by STM Partners LLC to The Steven Mnuchin Revocable Trust, which was then sold by the filer, individually, to an unrelated party. The capital gain reported is the amount realized by the filer upon sale to the unrelated party. There was no capital gain upon distribution from STM Partners LLC or from The Steven Mnuchin Revocable Trust.
6.	65	The receivable is due in full upon sale of the Beverly Hills property and interest is due annually at 1.18 % of the unpaid receivable per year.
6.	70.2	The income reported on this line is an estimate as actual 2017 taxable income is not yet available. The amount is cash received from the partnership
6.	76	The Steven T. Mnuchin 2017 Family Trust was created on April 27, 2017, and was intended to hold the assets that have been in the Steven T. Mnuchin 2000 Family Trust and the Steven T. Mnuchin 2002 Family Trust. As of December 31, 2017, the assets have not yet been titled in the name of The Steven T. Mnuchin 2017 Family Trust, but are expected to be so titled in 2018.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

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